K&K FORM (5/00)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

REQUEST FOR CONTINUED EXAMINATION (RCE)

TRANSMITTAL FORM (37 C.F.R. § 1.114)

DOCKET NO. 2345/121A

APPLICATION SERIAL NO. 10/243,174

EXAMINER
Arnel C. Lavarias

ART UNIT 2872

APPLICANT(S):

Wolfgang DULTZ et al.

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Mail Stop RCE

[hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: January 3, 2005

Linds M. Shirdy (Reg. no. 47,084)

This is a Request for Continued Examination under 37 C.F.R. § 1.114 (RCE) of pending application Serial No. 10/243,174 having a filing date of September 12, 2002, entitled METHOD AND DEVICE FOR SPECTRAL LEVEL BALANCING IN WAVELENGTH DIVISION MULTIPLEX MULTI-CHANNEL SYSTEMS.

The following constitute(s) the submission <u>required</u> by 37 C.F.R. § 1.114(a) and is (are) attached: Information Disclosure Statement (as per 37 C.F.R. § 1.114(c)) (attached).

X Other Submission: Amendment

1. The filing fee for this RCE and the required amendment/submission is calculated below. The fee below is calculated based on the status of the claims after the entry of the attached amendment/submission. The fee for any new additional claims is included with this RCE, the fee for previously entered additional claims having already been paid.

01/31/2005 P 01 FC:1255

01 FC:180

2160.00 DA	C10500 10243174 CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT NUMBER EXTRA	RATE (\$) PER CLAIM	FEE (\$)	
BASIC FEE						790.00	
TOTAL CLAIMS	6	20	0	0	50.00	0.00	
INDEPENDENT CLAIMS	2	2 3		0	200.00	0.00	
				"Number extra must be zero or larger	TOTAL	790.00	

01/07/2005 HDEMESS1 00000016 110600 10243174

790.00 DA

U.S. Patent App. Ser. No. 10/243,174

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- The Commissioner is hereby authorized to charge the required RCE and Submission filing fee of \$790.00 to the deposit account number 11-0600 of Kenyon & Kenyon.
- 3. Applicants request an extension of the time of five months to respond. The Commissioner is hereby authorized to charge the required extension fee of \$2160.00 to the deposit account number 11-0600 of Kenyon & Kenyon.
- 4. The Commissioner is hereby authorized, as necessary and/or appropriate, to charge payment of the fees (including any additional and/or extension fees) required, associated with this communication or arising during the pendency of this application, and/or to credit any overpayment, to the deposit account number 11-0600 of Kenyon & Kenyon:
- 5. Three duplicate copies of this Transmittal Form are enclosed for the above purposes.

Respectfully submitted

Dated: (_)au-3, 2005

By:

Richard L. Mayer (Reg. No. 22,490)

KENYON & KENYON One Broadway New York, New York 10004 (212) 425-7200 (telephone) (212) 425-5288 (facsimile)

CUSTOMER NO. 26646

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875								Application of Docket Number			
CLAIMS AS FILED – PART I (Column 1) (Column 2) SMALL ENTITY								OR	OTHER THAN SMALL ENTITY		
FOR NUMBER FILED		NUMBE	NUMBER EXTRA		FEE	ŀ	RATE	FEE			
BASIC FEE (37 CFR 1.16(a))						s	OR		s		
TOTAL CLAIMS (37 CFR 1.16(c))			minus 20		 .	x \$=		OR	x \$=		
INDEPENDENT CLAIMS		minus 3			x \$ =		OR	x s =			
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))					+s =		OR	+s =	==		
* If the difference in column 1 is less than zero, enter "0" in column 2.						TOTAL		OR	TOTAL		
CLAIMS AS AMENDED – PART II											
	LE	Column 1)		(Column 2)	(Column 3)	SMALL I	ENTITY	OR		R THAN ENTITY	
ENT A	1/6/05	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE	
AMENDMENT	Total (37 CFR 1.16(c))	. 6	Minus	" <i>10</i>	= /	x \$=		OR	× \$=		
ĒN	Independent (37 CFR 1.16(b))	. >	Minus	" <i>3</i>	=	x \$=		OR	× \$		
₽	FIRST PRESENT	TATION OF MULTIPLE	E DEPENDI	ENT CLAIM (37 CF	/ FR 1.16(d))	+ \$ =		OR	+\$ =		
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
		(Column 1)		(Column 2)	(Column 3)			_		•	
AMENDMENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE	
	Total (37 CFR 1.16(c))	*	Minus	**	=	x \$ =		OR	x \$ =		
	Independent (37 CFR 1.16(b))	*	Minus	***	. =	x \$ =		OR	x \$=		
AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					+ s =		OR	+ s =		
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
		(Column 1)		(Column 2)	(Column 3)			-			
NTC		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDI- TIONAL FEE		RATE	ADDI- TIONAL FEE	
<u>X</u>	Total (37 CFR 1.16(c))		Minus	**	=	x \$=		OR	x s=		
AMENDMENT	Independent (37 CFR 1.16(b))	•	Minus	***	=	x \$=		OR	x \$=		
¥ 	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					+ \$=		OR	+ s =		
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
If the entry in column 1 is less than the entry in column 2, write "0" in column 3. If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".											

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

**** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "30".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.